FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
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| STATEMENT C | F CHANGES IN | BENEFICIAL | OWNERSHIP |
|-------------|--------------|------------|-----------|
|-------------|--------------|------------|-----------|

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* SWENSON SUSAN | | | | | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT] | | | | | | | | (Che | elationship ck all appli Directo | , | | son(s) to Iss | | | |
|---|--|--|--|-------|--|---|-----|--|--------------------------------------|--|---|------------------|--|--|-------------------------------------|---|--|---|--|---------------------------------------|
| (Last) 2590 OR | (F CHARD P | , | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/15/2022 | | | | | | | | | Officer (give title below) | | | specify | | |
| (Street) SAN JOS | SE C. | A | 95131 | | 4.1 | | | | | | | | | 6. In Line | Form f | ual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | | | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Date) | | | | | Execution Date, | | | Tr | Transaction Disposed Code (Instr. 5) | | ties Acquired (A) or d Of (D) (Instr. 3, 4 a | | | 5. Amou Securitie Benefici Owned I Reporte | s Formally (D) of ollowing (I) (II) | | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | C | ode | | Amount | ount (A) or (D) | | Price | Transac (Instr. 3 | tion(s) | | | , , |
| Common | Stock | | | 02/15 | 5/202 | /2022 | | | | М | | 19,157 | 57 ⁽¹⁾ A \$ | | \$0.00 | 219 | 219,825 | | D | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Day | Date, | | ransaction ode (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title of Secu Underly Derivat (Instr. 3 | rities ing ive Se | ecurity | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | s Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | , | Code | v | (A) | (D) | Date Exer | e rcisable | | xpiration ate | Title | 0 N 0 | lumber | | | | | |
| Restricted Stock Units ⁽²⁾ | \$0.00 | 02/15/2022 | | | М | | | 19,157 | 02/1 | 15/2022 | 0. | 2/15/2022 | Commo | | 9,157 | \$0.00 | 0 | | D | |

Explanation of Responses:

- $1. \ These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. \ These restricted stock units were initially granted to the Reporting Person on 2/17/2021, and were identified on a Form 4 filed by the Reporting Person on 2/19/2021. \\$
- 2. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

Remarks:

/s/ Laura Donovan By

Attorney-in-Fact Laura

Donovan ** Signature of Reporting Person Date

02/17/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.