Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| Washington, | D.C. | 20549 | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|------|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response | . 05 | | | | | | | |

| | | | | | or Sec | illori Su | (II) OI IIIE II | ivesimei | ii Con | ipariy Act of | 1940 | | | | | | |
|---|--|-----------|-----------------|---|--|-----------|-----------------|--|--------------------|---|---|------------------|---------------------------------------|--|------------------|------------|--|
| Name and Address of Reporting Person* Lightness Plans of Reporting Person* | | | | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT] | | | | | | | 5. Relationship of Reporting Person(s) to Issu (Check all applicable) | | | | | | |
| <u>Haltmayer Neven</u> | | | | | | | | | | Direc | ctor | 10% | Owner | | | | |
| | | | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | \dashv | X Offic below | er (give title v) | Othe belov | r (specify v) | | |
| (Last) (First) (Middle) 2590 ORCHARD PARKWAY | | | | 11/22/2021 | | | | | | SVP, Video R&D | | | | | | | |
| 2550 010 | | indeville | | | | | | | | | | | | | | | |
| (Street) | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | 6. I Lin | dividual or Joint/Group Filing (Check Applicable) | | | | | | |
| SAN JOS | SE CA | A 9 | 5131 | | | | | | | | | | X Form | filed by On | e Reporting Pe | rson | |
| (City) | (St | ate) (2 | Zip) | | | | | | | | | | | Form filed by More than One Reporting Person | | | |
| | | | | | | | | | | | | | | | | | |
| | | Table | I - Nor | 1-Deriva | tive S | ecuri | ties Acq | uired, | Disp | osed of, | or Ber | neficia | lly Own | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Date) | | | Execution Date, | | 3. 4. Securities Acqu Transaction Code (Instr. 8) 4. Securities Acqu Disposed Of (D) (I | | | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Ownership | | | | | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | Transa | ied iction(s) 3 and 4) | | (Instr. 4) | |
| Common Stock 11/22/ | | | | 2021 | 21 s 5,000 D \$11.5 158,248 | | 58,248 | D | | | | | | | | | |
| | | Tal | ole II - I | Derivati | ve Sec | curiti | es Acqui | ired. C | Dispo | sed of, o | r Bene | ficiall | v Owne | d | | | |
| | | | | | | | | | | onvertibl | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | tive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any Code (I | | tion nstr. | 5. Number of Derivative Securities Acquired (A) or Disposed | Expiration Date (Month/Day/Year) Secu | | | 7. Title ar Amount of Securities Underlyind Derivative Security 3 and 4) | of s ng e | 8. Price of Derivative Security (Instr. 5) (Instr. 5) 9. Number derivative Securities Beneficial Owned Following Reported | | Ownersh Form: | Beneficial Ownership (Instr. 4) | | | | |

Explanation of Responses:

Remarks:

/s/ Laura Donovan By

Amount or Number

Shares

Title

Attorney-in-Fact: Laura 11/24/2021

Donovan

Expiration

Date

** Signature of Reporting Person Date

Transaction(s) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

of (D) (Instr. 3, 4 and 5)

(A) (D) Date

Exercisable