FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB APPROVAL		
OMB Number:	3235-0287	
Estimated average burden		
hours per response:	0.5	

(Instr. 4)

5 Check this box if no longer subject to Section 16, Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) **HARMONIC INC** [ HLIT ] **HARSHMAN PATRICK** Director X 10% Owner Officer (give title Other (specify X 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) (Middle) 02/17/2022 President and CEO 2590 ORCHARD PARKWAY 6. Individual or Joint/Group Filing (Check Applicable 4. If Amendment, Date of Original Filed (Month/Day/Year) Line) SAN JOSE 95131 CA Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 7. Nature of 1. Title of Security (Instr. 3) 2A. Deemed 5. Amount of 6. Ownership Form: Direct Execution Date. Transaction Securities Indirect Beneficially Owned Following (D) or Indirect (I) (Instr. 4) Beneficial Ownership (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) (A) or (D) Code v Amount Price Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and Expiration Date (Month/Day/Year) 1. Title of Derivative 3A. Deemed Execution Date, 7. Title and Amount of Securities 8. Price of Derivative 3. Transaction 5. Numbei 9. Number of 11. Nature Date (Month/Day/Year) Ownership Security or Exercise if any (Month/Dav/Year) Code (Instr. Securities Underlying Derivative Security Security (Instr. 5) Securities Form: Beneficial Price of Derivative Security Direct (D) or Indirect (I) (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 3) 8) Beneficially Ownership Owned Following Reported

## **Explanation of Responses:**

\$0.00

- 1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.
- 2. One third (33.33%) of the Shares subject to the Restricted Stock Units are scheduled to vest on February 15, 2023, and approximately 8.33% of the remaining Restricted Stock Units will vest each three months thereafter, so as to be 100% vested on the third anniversary of the RSU Vesting Commencement Date.

Date

Exercisable

02/15/2023(2)

(D)

## Remarks:

Restricted

Units<sup>(1)</sup>

/s/ Laura Donovan By Attorneyin-Fact: Laura Donovan

\$0.00

\*\* Signature of Reporting Person

(Instr. 3 and 4)

Title

Stock

Expiration

02/15/2025

Amount

Number

of Shares

164,204

Date

Transaction(s)

164,204

D

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/17/2022

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

(A)

164,204

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.