FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | |
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| | STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |

| | VIB APE | ROVAL | | | | |
|----------|-------------|--------|--|--|--|--|
| OMB Nu | OMB Number: | | | | | |
| Estimate | d average | burden | | | | |
| hours pe | r response | : 0.5 | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* GALLAGHER PATRICK | | | | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT] | | | | | | | | | of Reportin licable) tor | , | | uer ner | | |
|--|---|--|--|---|-------|---|--|--|-------------------|--|--------------------|---|--|--|--------------------------------------|---|--|--|
| (Last) 4300 NC | (F ORTH FIRS | , | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/15/2020 | | | | | | | | Office below | er (give title /) | | Other (s below) | pecify |
| (Street) SAN JOS (City) | | tate) | 95134 (Zip) | - Dovi | - | | | | <u> </u> | | (Month/Da | , | Liı | X Form Form Perso | filed by One filed by Mo | e Repo | g (Check Ap orting Perso n One Repo | ı |
| Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | saction | 2A. Deemed Execution Date, | | | 3. 4. Securiti Transaction Code (Instr. 5) | | | ties Acquir | ed (A) or | 5. Amo Securi Benefi Owned | unt of ies cially Following | Form (D) o | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| Common Stock 02/1 | | | | .5/202 | /2020 | | | Code | v | Amount 21,238 | (A) (C) (D) | <u> </u> | Transa (Instr. | Reported Transaction(s) (Instr. 3 and 4) | | D | (Instr. 4) | |
| Common | JUCK | • | Table II - | Deriva | ative | Secu | | | uired, D | | | or Ben | eficiall | | .0,501 | <u> </u> | D | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution D if any (Month/Day/ | Date, Transac Code (Ir | | | of Deri Sec Acq (A) (Disp of (I | umber vative urities uired or oosed O) (Instr. and 5) | Expiration | 5. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4) | | 8. Price o Derivative Security (Instr. 5) | | e (| 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Co | Code | ode V | (A) | (D) | Date Exercisab | | Expiration Date | Title | Amount or Number of Shares | | | | | |
| Restricted Stock Units | \$0.00 | 02/15/2020 | | | M | | | 21,238 | 02/15/202 | 0 0 | 02/15/2020 | Common Stock | 21,238 | \$0.00 | 0 | | D | |

Explanation of Responses:

Remarks:

<u>/s/ Laura Donovan By</u>

Attorney-in-Fact Laura 02/19/2020

Donovan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{1.} These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2020. These restricted stock units were initially granted to the Reporting Person on 4/3/2019, and were identified on a Form 4 filed by the Reporting Person on 4/4/2019.