FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								<u> </u>												
1. Name and Ben-Nat		2. Issuer Name <b>and</b> Ticker or Trading Symbol HARMONIC INC [ HLIT ]									ationship o k all applica Director	able)	Reporting Person(s) to Issuer ole) 10% Owner							
(Last) (First) (Middle) 2590 ORCHARD PARKWAY						3. Date of Earliest Transaction (Month/Day/Year) 11/04/2021								X	Officer (give title below)  SVP & GM, Ca			Other (s below) e Access	pecify	
(Street)						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
SAN JOSE CA 95131															X Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(Sta	te) (Zip)													Person					
		Tabl	le I - N	on-Deriv	ative	Sec	uriti	es Ac	quire	d, Di	sposed of	, or Ber	nefici	ially	Owned					
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day						Exec if any	A. Deemed kecution Date, any lonth/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquire Disposed Of (D) (Inst				5. Amour Securitie Beneficia Owned F	s Ily ollowing	6. Owner Form: Dir (D) or Ind (I) (Instr.	Direct I Indirect E str. 4)	7. Nature of ndirect Beneficial Ownership	
						Code	v	Amount	(A) or (D) Price			Reported Transaction(s) (Instr. 3 and 4)				Instr. 4)				
Common Stock					11/04/2021						25,336(1)	A	\$7	\$7.58		3,202		D		
Common Stock 11/04					2021				S		25,336	D	\$ <del>10</del> .	10.8448 367		,866		D		
Common Stock 11/05/					2021				M		22,148 <sup>(1)</sup>	A	\$7	\$7.58 390		,014		D		
Common Stock 11/05/2					2021	.021			S		22,148	D	\$ <del>10</del> .	367		,866		D		
Common Stock 11/08/20					2021	021			M		32,516 <sup>(1)</sup>	A			,382		D			
Common Stock 11/08/20						)21			S		32,516	2,516 D \$10		8735	367,866			D		
		Т	able II								oosed of, convertib				wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed on Date, Day/Year)	4. Transa Code (I 8)				6. Date Exer Expiration D (Month/Day/		ate	7. Title and Amour of Securities Underlying Derivative Securit (Instr. 3 and 4)		[	3. Price of Derivative Security Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	Owner Form Direct or Ind (I) (In	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	V (A) (D)		Date Exerci	sable	Expiration Date	Title	Amo or Num of Shar	ber						
Stock Option/Right to buy	\$7.58	11/04/2021				25,336		02/15/	/2016	03/13/2022	Common Stock	25,3	336	\$7.58	54,664		D			
Stock Option/Right to buy	\$7.58	11/05/2021			M	22,14		22,148	02/15/	/2016	03/13/2022	Common Stock	22,1	148	\$7.58	32,516		D		
Stock Option/Right	\$7.58	11/08/2021			М			32 516	02/15	/2016	03/13/2022	Common	32.5	516	\$7.58	0		D		

## Explanation of Responses:

1. Shares acquired pursuant to exercise of stock option scheduled to expire on March 13, 2022.

## Remarks:

/s/ Laura Donovan By Attorney-in-Fact: Laura

11/08/2021

Donovan

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).