FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Vashington,	D.C.	20549	
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Louvet Eric</u>					2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ]									neck all appl Direct	ationship of Reportin k all applicable) Director		10% O	wner	
(Last) 4300 NC	(Fi	,	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 05/15/2020									^ below	Officer (give title below) Other (below)  SVP, Global Sales & Video Sv			
(Street) SAN JO			95134 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)							Lin	e) X Form	I or Joint/Group Filing (Check Applicable orm filed by One Reporting Person orm filed by More than One Reporting Person					
(- 9)				n-Deriva	ative S	ecuri	ities Ac	quir	ed,	Disp	osed (	of, o	or Ben	eficia	ly Owne				
1. Title of Security (Instr. 3)		Date	e nth/Day/Year) i		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)				I Securiti Benefic	5. Amount of Securities Beneficially Owned Following		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership		
							Co	ode	v	Amount		(A) or (D)	Price	Transac (Instr. 3	tion(s)			(Instr. 4)	
Common Stock			05/15/	5/2020				M		4,167	(1)	A	\$0.0	0 119	119,127(2)		D		
Common	Stock			05/15/	/2020				F		2,065 <sup>(3)</sup> D		D	\$5.1	5 11'	117,062		D	
Common	Stock			05/15/	/2020			ı	M		6,250 <sup>(4)</sup> A		\$0.0	123,312		D			
Common Stock 05/15				5/2020			1	F		3,098 <sup>(3)</sup> D		D	\$5.1	120,214		D			
		T		Derivat (e.g., p					,			,		-	/ Owned				
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Ye		Date, 7	Transaction Code (Instr. 8)		of Ex		Date Exercisa xpiration Date Month/Day/Yea			7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

\$0.00

\$0.00

05/15/2020

05/15/2020

1. These shares of common stock were acquired upon the vesting of restricted stock units on May 15, 2020. These restricted stock units were initially granted to the Reporting Person on 3/20/2018, and were identified on a Form 3 filed by the Reporting Person on February 13, 2019.

Date

Exercisable

02/15/2019

02/15/2020

(A) (D)

4,167

6,250

Expiration

02/15/2021

02/15/2022

Date

Title

Commor

Commor

- 2. This amount incorporates a correction by excluding 97 shares of common stock that were inadvertently included as a result of an administrative error in connection with the Form 4 filed on behalf of the reporting person on 3/3/2020.
- 3. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.

Code

4. These shares of common stock were acquired upon the vesting of restricted stock units on May 15, 2020. These restricted stock units were initially granted to the Reporting Person on 4/15/2019, and were identified on a Form 4 filed by the Reporting Person on 4/17/2019.

## Remarks:

Restricted

Restricted

Stock Units

Stock Units

/s/ Laura Donovan By

Attorney-in-Fact: Laura

of Shares

4,167

6,250

\$0.00

\$0.00

12,500

43,750

05/19/2020

D

D

**Donovan** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.