SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Nume and Address of Reporting Feison						2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Theodosopoulos Nikos</u>														2	Contractor	r		10% Ov	wner	
(Last) (First) (Middle)							3. Date of Earliest Transaction (Month/Day/Year) 02/17/2022								Officer below)	(give title	Other (below)	specify		
2590 ORCHARD PARKWAY																				
4. If Amendment, Date of Original Filed (Mont								d (Month/Da	v/Yea	ar)	6. In	dividual or J	oint/Group	Filina	(Check Ap	plicable				
(Street)						. In Americanical, Date of Original Flied (Month/Day/Tear)									Line)					
SAN JO	SE C	Δ	95131											2	K Form fi	led by One	e Repo	orting Perso	n	
5/11/30		1	55151												Form filed by More than One Reporting					
,					-										Person					
(City)	(S	tate)	(Zip)																	
		Tal	ble I - Noi	n-Deriv	vativ	e Se	curitie	ςΔi	nuire		snosed o	fo	r Ben	eficiall	v Owned					
									·	а, р іс	-				-					
1. Title of Security (Instr. 3) 2. Transa Date (Month/D							2A. Deemed Execution Date if any (Month/Day/Ye		Code (Inst					5. Amount of Securities Beneficially Owned Following Reported		Form (D) or	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Cod	e V	Amount	t (A) or (D) I		Price	Transact	Transaction(s) (Instr. 3 and 4)			(1130. 4)	
			Table II -	Deriva	ative	Sec	urities	Acc	wired.	Disr	osed of	or	Benef	icially	Owned					
									• •		convertil				omica					
1 Title of		2 Tronsetion		<u> </u>		,	· · · · · ·		· •					,		0 Number		10	11. Nature	
1. Title of Derivative Security (Instr. 3)	e Conversion Date Execution Date, 1 or Exercise (Month/Day/Year) if any 0					ransaction of ode (Instr. Derivative			Expiration Date of S (Month/Day/Year) Und Deri (Inst				7. Title and Amour of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Titl		Amount or Number of Shares						

Explanation of Responses:

\$0.00

Restricted

Stock

Units⁽¹⁾

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

02/17/2022

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2023. Vested shares will be delivered to the reporting person on or immediately following February 15, 2023. **Remarks:**

14.395

02/15/2023⁽²⁾

02/15/2023

Commor

Stock

/s/ Laura Donovan By Attorney-in-Fact Laura Donovan

02/22/2022

14,395

D

** Signature of Reporting Person Date

14,395

\$0.00

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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