SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

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Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

		of Section So(n) of the investment Company Act of 1940	
1. Name and Address of F Graham Ian	Reporting Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>HARMONIC INC</u> [ HLIT ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) (First) (Middle) 2590 ORCHARD PARKWAY		3. Date of Earliest Transaction (Month/Day/Year) 02/15/2022	X below) below) SVP, Global Sales & Video Svcs
(Street) SAN JOSE CA (City) (Sta		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	02/15/2022		М		4,584 <sup>(1)</sup>	A	\$0.00	97,740	D		
Common Stock	02/15/2022		F		2,502 <sup>(2)</sup>	D	\$9.43	95,238	D		
Common Stock	02/15/2022		М		4,092 <sup>(3)</sup>	A	\$0.00	99,330	D		
Common Stock	02/15/2022		F		2,233 <sup>(2)</sup>	D	\$9.43	97,097	D		
Common Stock	02/15/2022		М		1,667(4)	A	\$0.00	98,764	D		
Common Stock	02/15/2022		F		910 <sup>(2)</sup>	D	\$9.43	97,854	D		
Common Stock	02/15/2022		М		27,671 <sup>(5)</sup>	A	\$0.00	125,525	D		
Common Stock	02/15/2022		F		15,105 <sup>(2)</sup>	D	\$9.43	110,420	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3, Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Seci Acq (A) o Disp of (E	umber vative urities uired or oosed 0) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	\$0.00	02/15/2022		М			4,584	02/15/2020	02/15/2022	Common Stock	4,584	\$0.00	0	D	
Restricted Stock Units	\$0.00	02/15/2022		М			4,092	02/15/2021	02/15/2023	Common Stock	4,092	\$0.00	16,367	D	
Restricted Stock Units	\$0.00	02/15/2022		М			1,667	08/15/2021	08/15/2023	Common Stock	1,667	\$0.00	10,001	D	
Restricted Stock Units	\$0.00	02/15/2022		М			27,671	02/15/2022	02/15/2024	Common Stock	27,671	\$0.00	55,343	D	

### Explanation of Responses:

1. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 6/22/2019, and were identified on a Form 3 filed by the Reporting Person on August 7, 2020.

2. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.

3. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 2/24/2020, and were identified on a Form 3 filed by the Reporting Person on August 7, 2020.

4. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 8/19/2020, and were identified on a Form 4 filed by the Reporting Person on 8/21/2020.

5. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 2/16/2021, and were identified on a Form 4 filed by the Reporting Person on 2/18/2021.

#### **Remarks:**

<u>/s/ Laura Donovan By</u> <u>Attorney-in-Fact: Laura</u> <u>Donovan</u>



Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Signature of Reporting Person Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.