FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Reaugh Mitzi          |  |  |          |           |                                 | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ] |      |                            |                                       |   |                  |  |                     |   | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |   |         |   |                         |  |
|---|--|--|----------|-----------|---------------------------------|---|------|----------------------------|---------------------------------------|---|------------------|--|---------------------|---|---|---|---------|---|-------------------------|--|
| (Last)  | `  | irst) (Middle)                             |          |           |                                 | 3. Date of Earliest Transaction (Month/Day/Year) 02/15/2017       |      |                            |                                       |   |                  |  |                     |   | Office  | Officer (give title below)  |         | Other (s  |                         |  |
| 4300 NORTH FIRST STREET   |  |  |          |           | 4. If A                         | 4. If Amendment, Date of Original Filed (Month/Day/Year)          |      |                            |                                       |   |                  |  |                     |   | Individual or Joint/Group Filing (Check Applicable Line)                                      |   |         |   |                         |  |
| (Street)  | VE - C   |  | \5124    |           |                                 |   |      |                            |                                       |   |                  |  |                     |   | X Form  | filed by One  |         | •   |                         |  |
| SAN JOS   | SE C   | A 95134                                    |          |           |                                 |   |      |                            |                                       |   |                  |  |                     |   | Form filed by More than One Reporting Person  |   |         |   |                         |  |
| (City)  | (S   | tate) (                                    | Zip)     |           |                                 |   |      |                            |                                       |   |                  |  |                     |   |   |   |         |   |                         |  |
|   |  | Tab  | le I - N | lon-Deriv | ative \$                        | Sec   | urit | ies Ac                     | quired,                               | Dis   | posed o          | of, or E   | Bene                | ficial  | ly Owne   | d   |         |   |                         |  |
| 1. Title of Security (Instr. 3)  2. Transactic Date (Month/Day/ |  |  |          | y/Year)   | Exec<br>if an                   | Deemed<br>cution Date,<br>ny<br>nth/Day/Year)                     |      | Transaction Code (Instr. a |                                       | 4. Securities Acquired (ADisposed Of (D) (Instr. 3 and 5) |                  |  |                     | 5. Amo<br>Securit<br>Benefic<br>Owned<br>Follow | ies<br>cially   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                            |         | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)           |                         |  |
|   |  |  |          |           |                                 |   |      |                            | Code                                  | v   | Amount           |  | (A) or<br>(D) Price |   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)  |   | (31. 4) |   | (mstr. 4)               |  |
| Common Stock 02/15/20   |  |  |          |           | 017                             | )17   |      |                            | M                                     |   | 24,000           | )(1)   | A                   | \$0.0   | 101,754   |   | D       |   |                         |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |          |           |                                 |   |      |                            |                                       |   |                  |  |                     |   |   |   |         |   |                         |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | if any   | ion Date, | 4.<br>Transac<br>Code (In<br>8) |   | n of |                            | 6. Date Ex<br>Expiration<br>(Month/Da | Date  | •                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>and 4) |                     | tr. 3   | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | , [C    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) | Beneficial<br>Ownership |  |
|   |  |  |          |           | Code                            | v   | (A)  | (D)                        | Date<br>Exercisab                     |   | xpiration<br>ate | Title  | Nu<br>of            | mber<br>ares                                    |   |   |         |   |                         |  |
| Restricted<br>Stock Unit  | \$0.00   | 02/15/2017                                 |          |           | M                               |   |      | 24,000                     | 02/15/201                             | 7 0   | 2/15/2017        | Commo<br>Stock   | <sup>n</sup> 24     | ,000  | \$0.00  | 0   |         | D   |                         |  |

## Explanation of Responses:

1. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2017. These restricted stock units were initially granted to the Reporting Person on 3/14/2016, and were identified on a Form 4 filed by the Reporting Person on 3/16/2016.

## Remarks:

/s/ Laura Donovan By Attorney-in-Fact: Laura

02/17/2017

<u>Donovan</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.