FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Clifford Deborah | | | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|----------------------|---------------|--|---|--|--|--|
| | | | | X Director 10% Owner | | | |
| (Last) 2590 ORCHA | (First) RD PARKWA | (Middle) Y | 3. Date of Earliest Transaction (Month/Day/Year) 10/18/2021 | Officer (give title Other (specify below) below) | | | |
| (Street) SAN JOSE CA 95131 | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | |
| | | | | X Form filed by One Reporting Person | | | |
| | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|---|---|---------------|--------|------------------------------------|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (11150.4) |
| Common Stock | 10/18/2021 | | М | | 9,932 ⁽¹⁾ | A | \$0.00 | 51,033 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date if any 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities 1. Title of Derivative 5. Number 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 2. Conversion 4. Transaction Code (Instr. 8) Derivative Security (Instr. 3) Security (Instr. 5) Form: or Exercise Securities Beneficial Direct (D) Price of Derivative (Month/Dav/Year) Underlying Derivative Security Securities Beneficially Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 Owned or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. 3 and 4) Following Reported Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date Date of Shares v (A) (D) Exercisable Title Code Restricted Commor Stock \$0.00 10/18/2021 М 9,932 10/18/2019 10/18/2021 9,932 \$0.00 0 D Stock Units⁽²⁾

Explanation of Responses:

1. These shares of common stock were acquired upon the vesting of restricted stock units on 10/18/2021. These restricted stock units were initially granted to the Reporting Person on 10/18/2018, and were identified on a Form 4 filed by the Reporting Person on 10/19/2018.

2. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

Remarks:

| /s/ Laura Do | onovan | By |
|--------------|--------|-------|
| Attorney-in- | -Fact: | Laura |
| Donovan | | |
| | | |

10/20/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).