SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287
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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	Name and Address of Reporting Person [*]					2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]										elationship c ck all applic Directo	able)	g Pers	on(s) to Iss 10% O	
(Last) (First) (Middle) 4300 NORTH FIRST STREET						3. Date of Earliest Transaction (Month/Day/Year) 03/09/2018										Officer below)	Officer (give title below)		Other (below)	specify
(Street) SAN JOSE CA 95134					4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Inc Line) X	Form filed by One Reporting Person Form filed by More than One Reporting					
(City) (State) (Zip)																Person	Person			
		Tal	ble I - Nor	n-Deriv	ativ	e Se	curitie	s Ao	cqui	ired, D	isp	posed of	f, or Be	enefi	cially	/ Owned				
1. Title of Security (Instr. 3) 2. Transa Date (Month/D						ear)	Execution if any	A. Deemed xecution Date, any Month/Day/Year)		3. Transaction Code (Instr. 8) 4. Securitie Disposed (5)							s ally ollowing	Form (D) or	vnership : Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									-	Code V	,	Amount	(A) ((D)	Pr P	Price	Transact	Transaction(s) (Instr. 3 and 4)			(instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, Tr	ransa ode (action Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Exp	Pate Exerc iration Da nth/Day/Y	ate	of Securities			urity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
				c	ode	v	1 1 1-1		Date	e rcisable		Expiration Date	Title	or Nui of	nount mber ares					
Restricted											Г		C							

Explanation of Responses:

\$0.00

Stock

Units⁽¹⁾

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2019. Vested shares will be delivered to the reporting person on or immediately following February 15, 2019. Remarks:

33,802

02/15/2019⁽²⁾

/s/ Laura Donovan By Attorney-in-Fact Laura Donovan

Common

Stock

02/15/2019

03/12/2018

Date

33,802

D

** Signature of Reporting Person

33,802

\$<mark>0.00</mark>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

03/09/2018

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.