FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See

Instruction 1(b)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Kalra Sanjay						2. Issuer Name <b>and</b> Ticker or Trading Symbol HARMONIC INC [ HLIT ]									k all applic Directo	able) r	g Pers	son(s) to Issi	vner	
(Last) (First) (Middle) 2590 ORCHARD PARKWAY						3. Date of Earliest Transaction (Month/Day/Year) 02/15/2022								X	Officer (give title below)  SVP and CFO				респу	
(Street)	SE C.	A	95131		4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Application)     X Form filed by One Reporting Person					n	
(City)	(S	tate)	(Zip)												Form filed by More than One Reporting Person					
		Tab	ole I - No	n-Deri	vativ	e Se	curi	ties Acc	quired,	Dis	posed o	f, or Be	enefic	ially	Owned					
Date				Date	ransaction e nth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction D Code (Instr. 5)		4. Securit Disposed 5)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		4 and Securitie Benefici Owned F		es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	n: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) (D)	Prio	се	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock			02/1	5/202	5/2022					9,584	(1) A	. \$0	0.00	113,	,109 <sup>(2)</sup>		D			
Common	Common Stock		02/1	5/202	5/2022			F		5,571	(3) D	\$9	9.43	107	7,538		D			
Common Stock			02/1	5/202	5/2022					10,448	(4) A	. \$(	0.00	117,986			D			
Common Stock			02/1	5/202	5/2022			F		5,457	(3) D	\$9	9.43	112,529			D			
Common	Stock			02/1	5/202	2			М		36,718	(5) A \$		0.00	149	149,247		D		
Common	Common Stock 02/2			5/202	/2022		F		19,332	(3) D	\$5	\$9.43		9,915		D				
		-	Table II -												Owned					
1. Title of Derivative Security (Instr. 3)	of 2. 3. Transaction ve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			4. Transa	5. Nr Transaction of Deri Code (Instr. Secondary (A) of Disp of (I		5. Number 6. Ex		options, convertil  5. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amour of Securities Underlying Derivative Securit (Instr. 3 and 4)		unt 8	3. Price of Derivative Security Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)		Date Exercisal		Expiration Date	Title	Amou or Numb of Share	oer						
Restricted Stock Units	\$0.00	02/15/2022			M			9,584	02/15/20	20 (	02/15/2022	Common Stock	9,58	34	\$0.00	0		D		
Restricted Stock Units	\$0.00	02/15/2022			M			10,448	02/15/20	21 (	02/15/2023	Common Stock	10,4	48	\$0.00	41,790	)	D		
Restricted Stock Units	\$0.00	02/15/2022			M			36,718	02/15/20	22	02/15/2024	Common Stock	36,7	18	\$0.00	73,435	5	D		

## **Explanation of Responses:**

- 1. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 4/15/2019, and were identified on a Form 4 filed by the Reporting Person on 4/17/2019.
- $2. \ Includes \ 1,500 \ shares of common stock acquired in the company's Section \ 16-b \ exempt \ employee stock purchase \ plan \ on \ 1/2/2022.$
- 3. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.
- 4. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 2/24/2020, and were identified on a Form 4 filed by the Reporting Person on 2/26/2020.
- 5. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2022. These restricted stock units were initially granted to the Reporting Person on 2/16/2021, and were identified on a Form 4 filed by the Reporting Person on 2/18/2021.

## Remarks:

/s/ Laura Donovan By

Attorney-in-Fact: Laura

02/17/2022

Donovan

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.