Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Washington,	D.C.	20549	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL						
	OMB Number:	3235-0287					
	Estimated average burden						
1	hours per response:	0.5					

			or Section 30(h) of the Investment Company Act of 1940				
Name and Address of Reporting Person* Kalra Sanjay			2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) (First) (Middle) L		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/15/2020	X Officer (give title Other (specify below) SVP and CFO			
(Street) SAN JOSE (City)	CA (State)	95131 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
		<u></u>					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Stock	08/15/2020		M		8,333(1)	A	\$0.00	165,744 ⁽²⁾	D	
Common Stock	08/15/2020		F		7,501 ⁽³⁾	D	\$6.72	158,243	D	
Common Stock	08/15/2020		M		9,583(4)	A	\$0.00	167,826	D	
Common Stock	08/15/2020		F		8,627(3)	D	\$6.72	159,199	D	

(e.g., puts, calls, warrants, options, convertible securities) 3. Transaction Date (Month/Day/Year) 1. Title of Derivative 3A. Deemed Execution Date 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 5. Number Conversion Transaction Code (Instr. Derivative (Month/Day/Year) Security or Exercise if anv Securities Security Securities Form: Beneficial Price of Derivative Underlying Derivative Security Ownership (Instr. 4) (Instr. 3) (Month/Day/Year) 8) Securities (Instr. 5) Beneficially Direct (D) Acquired (A) or or Indirect (I) (Instr. 4) Owned (Instr. 3 and 4) Security Following Disposed of (D) (Instr. 3, 4 Reported Transaction(s) (Instr. 4) and 5) Amount Number Expiration (D) Title Shares

Exercisable

02/15/2019

02/15/2020

Date

02/15/2021

02/15/2022

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Explanation of Responses:

\$0.00

\$0.00

08/15/2020

08/15/2020

- 1. These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2020. These restricted stock units were initially granted to the Reporting Person on 3/20/2018, and were identified on a Form 4 filed by the Reporting Person on 3/22/2018.
- 2. Includes 1,500 shares of common stock acquired in the company's Section 16-b exempt employee stock purchase plan on 7/1/2020.
- 3. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.

Code

M

(A)

8,333

9.583

- 4. These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2020. These restricted stock units were initially granted to the Reporting Person on 4/15/2019, and were identified on a Form 4 filed by the Reporting Person on 4/17/2019.
- 5. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

Remarks:

Restricted

Units⁽⁵⁾

Restricted

Stock

Units⁽⁵⁾

/s/ Laura Donovan By Attorney-in-Fact: Laura

8,333

9,583

Stock

Commor

Stock

\$0.00

\$0.00

08/18/2020

16,667

57,500

D

D

Donovan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.