FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Kalra Sanjay</u>						2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]									all applic Directo	ionship of Reporting all applicable) Director Officer (give title		10% Ow	ner
(Last) (First) (Middle) 2590 ORCHARD PARKWAY						3. Date of Earliest Transaction (Month/Day/Year) 03/01/2023								X	below)			Other (specify below)	
(Street) SAN JOSE CA 95131 (City) (State) (Zip)					4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) 2. Trans Date (Month/					ear) i	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			and Securitie Benefici		es ally Following	Form (D) o	r Indirect	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) o (D)	Price	9	Transact (Instr. 3	ion(s)			(Instr. 4)
Common Stock 03/01/						2023			M		12,50	0 A	\$0.	\$0.00		6,320		D	
Common Stock 03/01/					1/202	/2023					6,581	D \$13.0		3.05	199,739			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution I if any (Month/Day	Date,	4. Transa Code (1 3)		of		6. Date E Expiratio (Month/D	n Date	•	e and 7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		Derivative Security (Instr. 5)		9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	s Blly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership ct (Instr. 4)
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amour or Number of Shares	er					
Restricted Stock Units	\$0.00	03/01/2023			M			12,500	09/01/20	22	09/01/2024	Common Stock	12,50	00	\$0.00	75,000	0	D	

Explanation of Responses:

1. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.

Remarks:

<u>Laura Donovan By Attorney-</u> in-Fact Laura Donovan

03/03/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.