FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL             |               |  |  |  |  |  |  |
|--------------------------|---------------|--|--|--|--|--|--|
| OMB Number:              | 3235-<br>0104 |  |  |  |  |  |  |
| Estimated average burden |               |  |  |  |  |  |  |
| hours per response:      | 0.5           |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Crandall Dana L</u>  |  | 2. Date of Event Requiring Statement (Month/Day/Year) 06/11/2024  3. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ] |                     |   |   |  |                                    |   |    |  |
|--|--|---|---------------------|---|---|--|------------------------------------|---|----|--|
| (Last) 2950 ORCH (Street) SAN JOSE (City)  |  | (Middle) KWAY  95131 (Zip)  | -                   |   | 4. Relationship of Reporting Issuer (Check all applicable)  Director Officer (give title below) | g Person(s) to  10% Owner  Other (specify below) |                                    | 5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  Form filed by One Reporting Person  Form filed by More than One Reporting Person |    |  |
| Table I - Non-Derivative Securities Beneficially Owned   |  |   |                     |   |   |  |                                    |   |    |  |
| 1. Title of Security (Instr. 4)  |  |   |                     |   | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)                                     | 3. Own<br>Form: I<br>(D) or I<br>(I) (Inst       | Direct Owndirect                   | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5)  |    |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |                     |   |   |  |                                    |   |    |  |
| (,   |  | 2. Date Exercisable and Expiration Date (Month/Day/Year)  |                     | 3. Title and Amount of Securities<br>Underlying Derivative Security<br>(Instr. 4) |   | 4.<br>Conversion<br>or Exercise                  | se Form:                           | 6. Nature of<br>Indirect Beneficial<br>Ownership (Instr.  |    |  |
|  |  |   | Date<br>Exercisable | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares           | Price of<br>Derivative<br>Security | Direct (D)<br>or Indirect<br>(I) (Instr. 5)   | 5) |  |

Explanation of Responses:

## Remarks:

No securities are beneficially owned.

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Wendi Ninh, Attorney-in-

**Fact** 

06/21/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.