## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Spriester Bart						2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ]									elationship eck all appli Directo	icable)	ng Pe	erson(s) to I 10% O	
(Last)	(First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 08/19/2016									X Office below	r (give title )		Other ( below)	specify
4300 NORTH FIRST STREET																SVP, Vide	eo Pr	oducts	
(Street)						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN JOS	SE C.	A	95134												,	iled by One	e Rep	orting Pers	on
															Form filed by More than Person			One Reporting	
(City)	(S	tate)	(Zip)												1 0130				
		Tab	le I - N	lon-Deriv	vative \$	Sec	urities	s Aco	quired, C	)isp	osed o	f, or Be	nefi	cial	ly Owne	d			
1. Title of Security (Instr. 3) Date (Month/Day					Execution Date,			Transaction Dispo			rities Acquired (A) ed Of (D) (Instr. 3,			Securit Benefic Owned	ies Fo sially (D In		rect (I)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	nount (A) or (D)		Price	Reporte Transad	Following Reported Transaction(s) (Instr. 3 and 4)		tr. 4)	(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	if any		4. Transaction Code (Instr. 8)		on of E		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		. 3	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4)	Beneficial Ownership	
					Code	v	(A)		Date Exercisable		xpiration ate	Title	or	ount nber res					
Restricted Stock Units <sup>(1)</sup>	\$0.00	08/19/2016			A		21,229		11/15/2016 <sup>(1</sup>	2) 0	3/01/2017	Common Stock	21,	229	\$0.00	21,229		D	

## Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. Up to approximately 40% of the RSUs may vest based on performance against the Company's fiscal Q3 2016 financial targets, as determined by the Compensation Committee of the Company on or before November 15, 2016, and up to approximately 60% of the RSUs may vest based on performance against the Company's fiscal Q4 2016 and full year 2016 financial targets, as determined by the Compensation Committee on or before March 1, 2017.

**Remarks:** 

/s/ Laura Donovan By	
Attorney-in-Fact: Laura	08/23/2016
Donovan	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.