SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	nd Address of Repo	rting Person [*]	2. Date of Even Requiring State (Month/Day/Yea	ment	3. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]					
(Last) (First) (Middle)		- 12/01/2003		4. Relationship of Reporting Per (Check all applicable) Director	son(s) to Issu 10% Owne	(Mc	5. If Amendment, Date of Original Filed (Month/Day/Year) 03/29/2001			
549 BALTIC WAY (Street) SUNNYVALE CA 94089 (City) (State) (Zip)			_		X Officer (give title below) President, C	Other (spe below) SD	App	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
			Table I - No	n-Deriva	tive Securities Beneficial	ly Owned				
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) Grund Instr. 4) 3. Ownership Form: Direct (I) (Instr. 5)		t (D) (Inst	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					4,830	D	D			
			Table II - I (e.g., puts, cal	Derivativ IIs, warra	ve Securities Beneficially ants, options, convertible	Owned securities	s)		,	
1. Title of Derivative Security (Instr. 4)			2. Date Exerce Expiration Da (Month/Day/Y	ate	d 3. Title and Amount of Secur Underlying Derivative Secur		4. Conversior or	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Exercise Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
1988 Stock Option Plan		(1)	06/22/200	2 Common Stock	1	0.225	D			
1988 Stock Option Plan		(1)	03/08/200	4 Common Stock	6,667	0.9	D			
1995 Stock Option Plan		(1)	01/23/200	6 Common Stock	7,000	5	D			
1995 Stock Option Plan		(1)	06/08/200	8 Common Stock	8,000	7.8125	D			
1995 Stock Option Plan		(1)	01/26/201	1 Common Stock	60,000	9.125	D			
1995 Stock Option Plan		(1)	07/14/200	7 Common Stock	4,000	10.4375	D			
1995 Stock Option Plan		(1)	08/01/201	0 Common Stock	30,000	23.5625	D			
1995 Stock Option Plan		(1)	06/22/200	9 Common Stock	8,000	25.5	D			

Explanation of Responses:

1. Twenty five percent of the Shares subject to the Option shall vest twelve months after the Vesting Commencement Date, and 1/48th of the Shares subject ot the Option shall vest each month thereafter. **Remarks:**

/s/Laura	Donovan	By:	Laura	12/0
Donovar	12/0			

01/2003

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.