FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C.	20549	
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OMB APPROVAL										
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

												· ·									
1. Name and Address of Reporting Person* <u>Graham Ian</u>						2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ]										eck all appl Direct	ationship of Reportir all applicable) Director		10% Ov		
(Last) (First) (Middle) 2590 ORCHARD PARKWAY						3. Date of Earliest Transaction (Month/Day/Year) 05/15/2024										X Officer (give title below) Other (specify below)  SVP, Global Sales & Video Svcs					
(Street) SAN JOSE CA 95131					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									Line	6. Individual or Joint/Group Filing (Check Applicable .ine)  X Form filed by One Reporting Person Form filed by More than One Reporting					
(City) (State) (Zip)					Rı	Rule 10b5-1(c) Transaction Indication															
												ction was r					ion or written	ı plan t	hat is intende	ed to	
		Tab	le I - Nor	n-Deriv	vative	Se	curit	ies Ad	cqui	ired, C	)isp	osed c	f, or B	ene	ficial	ly Owne	d				
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				Date	Transaction ate lonth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		e,	3. Transaction Code (Instr.				(A) or 3, 4 and	Benefic Owned	es Formalially (D) (I) (I)		n: Direct or Indirect ostr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) (D)	or	Price	Reporte Transac (Instr. 3	tion(s)			(Instr. 4)					
Common Stock 05					5/2024					M		8,970	) [	A \$0		72,586		D			
Common Stock 0				05/1	5/2024			F		4,890	5 I	)	\$11.3		67,690		D				
		Т	able II -									sed of				Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transactio Code (Instr 8)		5. Number of		6. Date Exercis. Expiration Date (Month/Day/Yea		ate		7. Title and Amount of Securities Underlying Derivative S (Instr. 3 and			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transactio (Instr. 4)	s B Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exe	e ercisable		xpiration ate	Title	or No of	umber						
Restricted Stock Units <sup>(1)</sup>	\$0	05/15/2024			M			5,198	02/	/15/2023	02	2/15/2025	Commo	5	5,198	\$0	15,590	6	D		
Restricted Stock Units <sup>(1)</sup>	\$0	05/15/2024			M			3,772	02/	/15/2024	02	2/15/2026	Commo	$\frac{1}{3}$	,772	\$0	26,40	5	D		

## **Explanation of Responses:**

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

/s/ Wendi Ninh, Attorney-in-

**Fact** 

\*\* Signature of Reporting Person Date

05/17/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.