SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addro Ben-Natan N	ess of Reporting Pe <mark>Nimrod</mark>	erson [*]		er Name and Ticker MONIC INC	0	ymbol	(Check	tionship of Reportin all applicable) Director	Dwner			
(Last) 2590 ORCHAF	(First) RD PARKWAY	(Middle)	3. Date 11/15/	of Earliest Transac 2020	ction (Month/D	ay/Year)	X	Officer (give title below) SVP & GM,	Cable Access	,		
(Street)				nendment, Date of (Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN JOSE	CA	95131					X	Form filed by One	e Reporting Pers	on		
(City)	(State)	(Zip)						Form filed by Mor Person	e than One Rep	orting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				2A. Deemed Execution Date, if any (Month/Dav/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

	(Month/Day/real)	(Month/Day/Year)	8)	insu.				Owned Following	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/15/2020		М		10,000 ⁽¹⁾	Α	\$0.00	343,563	D	
Common Stock	11/15/2020		М		8,333 ⁽²⁾	Α	\$0.00	351,896	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Seci Acq (A) o Disp of (E	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units ⁽³⁾	\$0.00	11/15/2020		М			10,000	02/15/2019	02/15/2021	Common Stock	10,000	\$0.00	10,000	D	
Restricted Stock Units ⁽³⁾	\$0.00	11/15/2020		М			8,333	02/15/2020	02/15/2022	Common Stock	8,333	\$0.00	41,667	D	

Explanation of Responses:

1. These shares of common stock were acquired upon the vesting of restricted stock units on 11/15/2020. These restricted stock units were initially granted to the Reporting Person on 3/20/2018, and were identified on a Form 4 filed by the Reporting Person on 3/22/2018.

2. These shares of common stock were acquired upon the vesting of restricted stock units on 11/15/2020. These restricted stock units were initially granted to the Reporting Person on 4/15/2019, and were identified on a Form 4 filed by the Reporting Person on 4/17/2019.

3. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

Remarks:

<u>/s/ Laura Donovan By</u> <u>Attorney-in-Fact: Laura</u> <u>Donovan</u> ** Signature of Reporting Person

11/17/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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