SEC Form 4	
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Instruction 1(b)

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person*						2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
GALLAGHER PATRICK															r		10% O\	vner	
(Last)	``	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/17/2021									Officer below)	(give title		Other (below)	specify	
2590 OR	RCHARD P.	ARKWAI		ļ								l							
		4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)									
(Street)	SE C	٨	95131										X	Form fi	led by One	e Repo	rting Perso	n	
,		A	93131											Form filed by More than One Reporting Person				rting	
(City)	(S	tate)	(Zip)																
		Tal	ble I - Noi	ו-Deriv	ative Se	ecurities A	cquii	red,	Disp	osed o	f, or Be	nefi	icially	v Owned					
1. Title of Security (Instr. 3) Date (Month/I					Execution Date,				action Instr.					Securitie Beneficia Owned F	ecurities For eneficially (D)		: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
										Amount	(A) ((D)	^r F	Price	Transact				(1150.4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
					ransaction ode (Instr.							ities		8. Price of Derivative Security	9. Numbe derivative Securitie	e	10. Ownership Form:	11. Nature of Indirec Beneficia	

Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	(Month/Day/Year)	Code (8)		Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	es d ed nstr.	(Month/Day/Ye		Underlyin Derivative (Instr. 3 ar	g Security	Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units ⁽¹⁾	\$0.00	02/17/2021		A		19,157		02/15/2022 ⁽²⁾	02/15/2022	Common Stock	19,157	\$0.00	19,157	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2022. The vested RSUs will be settled in shares within 60 days following the earliest to occur of (I) a change-incontrol event, (ii) the director's separation from service from the company, or (iii) the director's death.

Remarks:

<u>/s/ Laura Donovan By</u> Attorney-in-Fact Laura <u>Donovan</u>

02/19/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.