## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SCHEDULE 14A** 

Proxy Statement Pursuant to Section 14(a) of the Securities Exchange Act of 1934

(Name of Registrant as Specified In Its Charter)  (Name of Person(s) Filing Proxy Statement, if other than the Registrant)  Payment of Filing Fee (Check the appropriate box):  No fee required. Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11. (1) Title of each class of securities to which transaction applies:  (2) Aggregate number of securities to which transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):  (4) Proposed maximum aggregate value of transaction:  (5) Total fee paid: Fee paid previously with preliminary materials. Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous ling by registration statement number, or the Form or Schedule and the date of its filing.  (1) Amount Previously Paid:  (2) Form, Schedule or Registration Statement No.:  (3) Filing Party:  (4) Date Filed:	Check	ed by the Registrant ⊠ Filed by a Party other than the Registrant □ neck the appropriate box: Preliminary Proxy Statement Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2)) Definitive Proxy Statement Definitive Additional Materials Soliciting Material Pursuant to §240.14a-12		
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#### \*\*\* Exercise Your *Right* to Vote \*\*\*

### Important Notice Regarding the Availability of Proxy Materials for the Stockholder Meeting to Be Held on June 10, 2020

HARMONIC INC.

harmonic

HARMONIC INC. 4300 NORTH FIRST STREET SAN JOSE, CA 95134

#### **Meeting Information**

Meeting Type: Annual Meeting For holders as of: April 15, 2020

**Date:** June 10, 2020 **Time:** 10:00 AM PDT

**Location:** Meeting live via the Internet - please visit www.virtualshareholdermeeting.com/HLIT2020

You are receiving this communication because you hold shares in the above named company.

This is not a ballot. You cannot use this notice to vote these shares. This communication presents only an overview of the more complete proxy materials that are available to you on the Internet. You may view the proxy materials online at <a href="https://www.proxyvote.com">www.proxyvote.com</a> or easily request a paper copy (see reverse side).

We encourage you to access and review all of the important information contained in the proxy materials before voting.

See the reverse side of this notice to obtain proxy materials and voting instructions.

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#### — Before You Vote —

How to Access the Proxy Materials

#### Proxy Materials Available to VIEW or RECEIVE:

1. Form 10-K 2. Notice & Proxy Statement

#### **How to View Online:**

#### How to Request and Receive a PAPER or E-MAIL Copy:

If you want to receive a paper or e-mail copy of these documents, you must request one. There is NO charge for requesting a copy. Please choose one of the following methods to make your request:

1) BY INTERNET: www.proxyvote.com 2) BY TELEPHONE: 1-800-579-1639

3) BY E-MAIL\*: sendmaterial@proxyvote.com

Requests, instructions and other inquiries sent to this e-mail address will NOT be forwarded to your investment advisor. Please make the request as instructed above on or before May 26, 2020 to facilitate timely delivery.

#### — How To Vote —

Please Choose One of the Following Voting Methods

**Vote In Person:** Many stockholder meetings have attendance requirements including, but not limited to, the possession of an attendance ticket issued by the entity holding the meeting. Please check the meeting materials for any special requirements for meeting attendance. At the meeting, you will need to request a ballot to vote these shares.

Vote By Mail: You can vote by mail by requesting a paper copy of the materials, which will include a proxy card.

#### Voting items

The Board of Directors recommends you vote FOR all the nominees listed:

1. Election of Directors

#### Nominees

- 01) Patrick J. Harshman 06) Susan G. Swenson
- 02) Patrick Gallagher 07) Nikos Theodosopoulos
- 03) Deborah L. Clifford 04) David Krall
- 05) Mitzi Reaugh

The Board of Directors recommends you vote FOR proposals 2, 3, 4 and 5.

- ${f 2.}$  To approve, on an advisory basis, the compensation of the named executive officers.
- 3. To approve an amendment to the 2002 Employee Stock Purchase Plan to increase the number of shares of common stock reserved for issuance thereunder by 1,000,000 shares.
- 4. To approve an amendment to the 1995 Stock Plan to increase the number of shares of common stock reserved for issuance thereunder by 4,000,000 shares.
- 5. To ratify the appointment of Armanino LLP as the independent registered public accounting firm of the Company for the fiscal year ending December 31, 2020.

NOTE: Such other business as may properly come before the meeting or any adjournment thereof.