SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1																	
		f Reporting Person*				Name and Tick				/mbol			elationship o ck all applic		g Pers	on(s) to Iss	uer
<u>REDD</u>	ERSEN	<u>VILLIANI F</u>							1			X	Directo	r		10% O	wner
(Last) 4300 NC	(F ORTH FIRS	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 03/09/2018								Officer below)	(give title		Other (below)	specify
					4. If Ame	endment, Date o	of Orig	ginal Fi	led ((Month/Day/	'Year)		dividual or J	oint/Group	o Filing	(Check Ap	plicable
(Street)												Line)		led by One	e Repo	orting Perso	n
SAN JOS	SE C	A	95134											led by Moi		One Repo	
(City)	(5	itate)	(Zip)														
		Tal	ble I - Nor	n-Deriv	ative Se	curities Ac	quir	red, D	oisp	osed of	, or Ben	eficially	/ Owned				
1. Title of S	1. Title of Security (Instr. 3) 2. Trans Date (Month/			Day/Year)	2A. Deemed Execution Date if any (Month/Day/Yea	, Tr Co			Disposed C	. Securities Acquired (A) bisposed Of (D) (Instr. 3,)		Securitie Beneficia	5. Amount of Securities Beneficially Owned Following		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
							C	ode \	,	Amount	(A) or (D)	Price	Transact (Instr. 3 a	ion(s)			(Instr. 4)
						urities Acq s, warrants							Owned			<u> </u>	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, T	I. Transaction Code (Instr. B)	5. Number of Derivative Securities Acquired (A) or	Expir	ite Exer ration D ith/Day/	ate	r)	7. Title and of Securitie Underlying Derivative (Instr. 3 and	es Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following	e es ally	10. Ownership Form: Direct (D) or Indirect (I) (Instr 4)	Beneficia Ownersh (Instr. 4)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)

		(e.g., puis, cails, warrants, options, conventible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	ive ies ied ed nstr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units ⁽¹⁾	\$0.00	03/09/2018		Α		33,802		02/15/2019 ⁽²⁾	02/15/2019	Common Stock	33,802	\$0.00	33,802	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2019. Vested shares will be delivered to the reporting person on or immediately following February 15, 2019. **Remarks:**

/s/ Laura Donovan By Attorney-in-Fact Laura Donovan

03/12/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.