FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
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| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     HARSHMAN PATRICK        |   |       |              |                          | HAI                         | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ] |                                   |  |              |   |  |                |  |   |  | ip of Reportir<br>plicable)<br>ctor   | •  | to Issuer % Owner     |  |  |
|---|---|-------|--------------|--------------------------|-----------------------------|---|-----------------------------------|--|--------------|---|--|----------------|--|---|--|---|--|-----------------------|--|--|
| (Last) (First) (Middle) 4300 NORTH FIRST STREET                   |   |       |              |                          |                             | 3. Date of Earliest Transaction (Month/Day/Year) 12/11/2015       |                                   |  |              |   |  |                |  |   | Offic<br>belo  | ,   |  | Other (specify below) |  |  |
| (Street) SAN JOS (City)   | E CA  |       | 5134<br>Zip) |                          | 4. If A                     | 4. If Amendment, Date of Original Filed (Month/Day/Year)          |                                   |  |              |   |  |                | r)                                     | 6. Ind<br>Line)<br>X  | Form   | idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person |  |                       |  |  |
|   |   | Table | e I - I      | Non-Deriv                | ative \$                    | Secu  | ıritie                            | s Ac   | quired,      | Dis   | posed of   | f, or E        | enefi                                  | cially  | Own  | ed  |  |                       |  |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y |   |       |              |                          | Year) i                     | Execution Date,   |                                   |  | Code (Instr. |   | 4. Securities Acquired (ADisposed Of (D) (Instr. 3, 5) |                |  | 4 and Secur   |  | icially<br>d  | 6. Ownersh<br>Form: Dire<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                       |  |  |
|   |   |       |              |                          |                             |   |                                   |  | Code         | v   | Amount   | (A) or<br>(D)  |  | ce  | Repo   |   | (  | (mair i)              |  |  |
| Common Stock 12/11/20   |   |       |              |                          |                             | 15  |                                   |  | P            |   | 10,000   | A              | A \$4.319                              |   | 196 436,227  |   | D  |                       |  |  |
|   |   | Та    | ble I        | l - Derivat<br>(e.g., ρι |                             |   |                                   |  |              |   | sed of, onvertib                                       |                |  |   | wned   |   |  |                       |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)               | erivative   Conversion   Date   Execution Date, ecurity   or Exercise   (Month/Day/Year)   if any |       | ıtion Date,  | Code (I<br>8)            | Transaction<br>Code (Instr. |   | rative rities ired rosed ) . 3, 4 | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |              | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |  | of De Sec (In: | Price<br>rivative<br>curity<br>str. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Owners<br>Form:<br>Direct (<br>or Indir<br>(I) (Inst | Beneficial Ownership ect (Instr. 4)   |  |                       |  |  |

Explanation of Responses:

Remarks:

/s/ Laura Donovan By Attorney-in-Fact Laura

<u>Donovan</u>

\*\* Signature of Reporting Person Date

12/15/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).