# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13D**

(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO § 240.13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO § 240.13d-2(a)

(Amendment No. 4)1

Harmonic Inc.
(Name of Issuer)

Common Stock, \$0.001 par value per share (Title of Class of Securities)

413160102 (CUSIP Number)

SAMANTHA NASELLO
SCOPIA CAPITAL MANAGEMENT LP
152 West 57th Street, 33rd Floor
New York, New York 10019
(212) 370-0303
(Name, Address and Telephone Number of Person

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

<u>July 25, 2022</u> (Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of  $\S\S$  240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box  $\boxtimes$ .

*Note:* Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. *See* § 240.13d-7 for other parties to whom copies are to be sent.

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, *see* the *Notes*).

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1	NAME OF REPORTING PERSON			
	COORIA CARITAL MANAGEMENTELD			
	SCOPIA CAPITAL MANAGEMENT LP			
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) $\Box$			
			(b) □	
3	GEC LIGE ONLY			
3	SEC USE ONLY			
4	SOURCE OF FUNDS			
·	SOURCE OF FORDS			
	AF			
5	CHECK BOX IF D	ISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR	. 🗆	
	2(e)			
6	CITIZENSHIP OR PLACE OF ORGANIZATION			
NUMBER OF	DELAWARE 7	COLE MOTING POWER		
NUMBER OF SHARES	/	SOLE VOTING POWER		
BENEFICIALLY		- 0 -		
OWNED BY	8	SHARED VOTING POWER		
EACH		SIMILED FORMOTOWER		
REPORTING		8,629,358		
PERSON WITH	9	SOLE DISPOSITIVE POWER		
		- 0 -		
	10	SHARED DISPOSITIVE POWER		
		0.420.250		
		8,629,358		
11 AGGREGATE		OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
	8,629,358			
12	8,629,358  CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES			
12	CHECK BOA IF THE AGGREGATE AWOUNT IN NOW (11) EACLUDES CERTAIN SHAKES			
13	PERCENT OF CLA	SS REPRESENTED BY AMOUNT IN ROW (11)		
	12 TERCENT OF CERES REFREDENTED BY MINOUNT IN NOW (11)			
	8.3%			
14	TYPE OF REPORTING PERSON			
	D			
	PN, IA			

1	NAME OF REPORTING PERSON		
	SCOPIA MAN	IAGEMENT, INC.	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) $\Box$ (b) $\Box$		
3	SEC USE ONLY		
4	SOURCE OF FUND	S	
	AF		
5	CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR		
	2(e)		
6	CITIZENSHIP OR PLACE OF ORGANIZATION		
	NEW YORK		
NUMBER OF	7	SOLE VOTING POWER	
SHARES	/	SOLE VOTING FOWER	
BENEFICIALLY		- 0 -	
OWNED BY	8	SHARED VOTING POWER	
EACH REPORTING		0.620.250	
PERSON WITH	9	8,629,358 SOLE DISPOSITIVE POWER	
TERSOIT WITH		SOLL DISTOSITIVE TOWER	
		- 0 -	
	10	SHARED DISPOSITIVE POWER	
		8,629,358	
11	AGGREGATE AMO	OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	0.620.250		
12	8,629,358  CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES		
12	CHECK BOX II 111	L AGGREGATE AMOUNT IN ROW (11) EXCEODES CERTAIN SHARES	Ш
13	PERCENT OF CLAS	SS REPRESENTED BY AMOUNT IN ROW (11)	
	8.3%		
14	TYPE OF REPORTI	NG PERSON	
	CO, HC		

1	NAME OF REPORTING PERSON		
	MATTHEW SIROVICH		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) □		
			(b) □
3	SEC USE ONLY		
4	SOURCE OF FUNDS		
	AF		
5		SCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR	
	2(e)		
6	CITIZENSHIP OR P	PLACE OF ORGANIZATION	
AND OF OF	USA	GOLE NOTING DOWER	
NUMBER OF SHARES	7	SOLE VOTING POWER	
BENEFICIALLY		- 0 -	
OWNED BY	8	SHARED VOTING POWER	
EACH REPORTING		8,629,358	
PERSON WITH	9	SOLE DISPOSITIVE POWER	
	10	- 0 - SHARED DISPOSITIVE POWER	
	10	SHARED DISPOSITIVE POWER	
		8,629,358	
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
	8,629,358		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)		
-			
14	8.3%	NG DEDGOM	
14	TYPE OF REPORTING PERSON		
	IN HC		

1	NAME OF REPORTING PERSON		
	JEREMY MIN	DICH	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a) □		
			(b) □
3	SEC USE ONLY		
4	SOURCE OF FUND	S	
	AF		
5	CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR		
	2(e)		
6	CITIZENSHIP OR P	LACE OF ORGANIZATION	
	USA		
NUMBER OF	7	SOLE VOTING POWER	
SHARES BENEFICIALLY		- 0 -	
OWNED BY	8	SHARED VOTING POWER	
EACH			
REPORTING PERSON WITH	9	8,629,358 SOLE DISPOSITIVE POWER	
TERSON WITH		SOLL DISTOSITIVE TOWER	
	10	- 0 -	
	10	SHARED DISPOSITIVE POWER	
		8,629,358	
11	AGGREGATE AMO	UNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	8,629,358		
12	CHECK BOX IF TH	E AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES	
13	PERCENT OF CLAS	SS REPRESENTED BY AMOUNT IN ROW (11)	
	8.3%		
14	TYPE OF REPORTI	NG PERSON	
	DI IIC		
	IN. HC		

The following constitutes Amendment No. 4 ("Amendment No. 4") to the Schedule 13D filed with the Securities and Exchange Commission ("SEC") by Scopia Capital Management LP ("Scopia Capital"), Scopia Management, Inc. ("Scopia Management"), Matthew Sirovich and Jeremy Mindich (collectively, the "Reporting Persons") on March 8, 2021, as amended by Amendment No. 1 filed on April 12, 2021, Amendment No. 2 filed on August 5, 2021 and Amendment No. 3 filed on March 29, 2022. This Amendment No. 4 amends and supplements the Schedule 13D as specifically set forth herein.

All capitalized terms contained herein but not otherwise defined shall have the meanings ascribed to such terms in the Schedule 13D, as amended. Information given in response to each item shall be deemed incorporated by reference in all other items, as applicable.

#### Item 3. Source and Amount of Funds or Other Consideration.

Item 3 is hereby amended and restated to read as follows:

The shares of common stock, \$0.001 par value per share, of the Issuer (the "Shares") reported herein were purchased with the working capital of the Investment Vehicles (which may, at any given time, include margin loans made by brokerage firms in the ordinary course of business). The aggregate purchase price of the 8,629,358 Shares held in the aggregate by the Investment Vehicles is approximately \$54,471,470, including brokerage commissions.

#### Item 5. <u>Interest in Securities of the Issuer.</u>

Items 5(a) and (c) are hereby amended and restated to read as follows:

(a) The aggregate percentage of Shares reported owned by each person named herein is based upon 104,489,365 Shares outstanding as of May 2, 2022, which is the total number of Shares outstanding as reported in the Issuer's quarterly report on Form 10-Q filed with the SEC on May 5, 2022.

As of the close of business on August 1, 2022, each of Scopia Capital, Scopia Management and Messrs. Sirovich and Mindich may be deemed to beneficially own the 8,629,358 Shares, constituting approximately 8.3% of the Shares outstanding, held in the aggregate by the Investment Vehicles.

The Investment Vehicles have delegated to Scopia Capital sole voting and investment power over the securities held by the Investment Vehicles pursuant to their respective Investment Management Agreements with Scopia Capital. As a result, each of Scopia Capital, Scopia Management, as the general partner of Scopia Capital, and Messrs. Sirovich and Mindich, as Managing Directors of Scopia Management, may be deemed to exercise voting and investment power over the Shares directly held by the Investment Vehicles. The Investment Vehicles specifically disclaim beneficial ownership of the securities of the Issuer directly held by them by virtue of their inability to vote or dispose of such securities as a result of their respective Investment Management Agreements with Scopia Capital.

(c) Schedule A annexed hereto lists all transactions in securities of the Issuer by the Reporting Persons (on behalf of the Investment Vehicles) during the past 60 days. All of such transactions were effected in the open market unless otherwise noted therein.

## **SIGNATURES**

After reasonable inquiry and to the best of his knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: August 2, 2022

## SCOPIA CAPITAL MANAGEMENT LP

By: Scopia Management, Inc.

General Partner

By: /s/ Matthew Sirovich

Name: Matthew Sirovich Title: Managing Director

## SCOPIA MANAGEMENT, INC.

By: /s/ Matthew Sirovich

Name: Matthew Sirovich Title: Managing Director

/s/ Matthew Sirovich

MATTHEW SIROVICH

/s/ Jeremy Mindich

JEREMY MINDICH

## SCHEDULE A

## <u>Transactions in the Securities of the Issuer During the Past 60 Days</u>

Nature of the Transaction	Amount of Securities <a href="Purchased/(Sold)">Purchased/(Sold)</a>	Price Per Share (\$)	Date of <u>Purchase/Sale</u>
	SCOPIA CAPITAL N	AANACEMENT I D	
	(On Behalf of the In		
	(On Behan of the II	vestment venicles)	
Sale of Common Stock	(97,685)	10.1647	06/02/2022
Sale of Common Stock	(39,125)	10.0322	06/03/2022
Sale of Common Stock	(27,103)	10.0392	06/03/2022
Sale of Common Stock	(400)	10.0525	06/06/2022
Sale of Common Stock	(10,200)	9.9150	06/06/2022
Sale of Common Stock	(18,484)	9.8072	06/06/2022
Purchase of Common Stock	38,159	8.3856	06/16/2022
Purchase of Common Stock	92,606	8.5907	06/17/2022
Purchase of Common Stock	40,000	8.6952	06/21/2022
Purchase of Common Stock	85,768	8.6159	06/30/2022
Purchase of Common Stock	10,342	8.5076	07/01/2022
Sale of Common Stock	(51,503)	8.5396	07/01/2022
Sale of Common Stock	(11,072)	8.5214	07/05/2022
Sale of Common Stock	(22,673)	8.9121	07/07/2022
Sale of Common Stock	(12,500)	8.9451	07/12/2022
Sale of Common Stock	(12,525)	9.0169	07/13/2022
Sale of Common Stock	(38,377)	10.0006	07/20/2022
Sale of Common Stock	(25,000)	10.2650	07/21/2022
Sale of Common Stock	(52,818)	10.1459	07/21/2022
Sale of Common Stock	(351,663)	10.2693	07/21/2022
Sale of Common Stock	(50,000)	10.2846	07/21/2022
Sale of Common Stock	(162,800)	10.3803	07/22/2022
Sale of Common Stock	(50,570)	10.4693	07/22/2022
Sale of Common Stock	(37,300)	10.1286	07/25/2022
Sale of Common Stock	(38,287)	10.1129	07/26/2022
Sale of Common Stock	(101,713)	10.3800	07/27/2022
Sale of Common Stock	(60,000)	10.3421	07/27/2022
Sale of Common Stock	(38,600)	10.7550	08/01/2022
Sale of Common Stock	(108,150)	10.8283	08/01/2022