FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] COVERT HAROLD L				2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HILIT]							ationship of Reportir all applicable) Director	• • • •	lssuer Dwner	
(Last) (First) (Middle) 4300 NORTH FIRST STREET				3. Date of Earliest Transaction (Month/Day/Year) 05/17/2017							Officer (give title below)	Other	Other (specify below)	
(Street) SAN JOSE (City)	CA (State)	95134 (Zip)		4. If <i>F</i>	Amendment, Date o	endment, Date of Original Filed (Month/Day/Year)					 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
	т	able I - N	ion-Deriva	tive	Securities Acq	uired,	Disp	osed of, o	r Ben	eficially	Owned			
1. Title of Securit	(Month/Day/Year) if any		Execution Date,	3. Transaction Code (Instr. 8)		4. Securities Disposed Of and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			

05/17/2017 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

М

S

S

864(1)

864

815

\$3.14

\$5

\$<mark>5</mark>

1,679

815

0

D

D

D

Α

D

D

L								-			,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option/Right to Buy	\$3.14	05/17/2017	05/17/2017	М			864	02/15/2017	03/14/2023	Common Stock	864	\$3.14	18,150	D	

Explanation of Responses:

Common Stock

Common Stock

Common Stock

1. These shares of common stock were acquired upon the exercise of stock options on 5/17/2017. These options were initially granted to the Reporting Person on 3/14/2016, and were identified on a Form 4 filed by the Reporting Person on 3/16/2016.

Remarks:

/s/ Laura Donovan By
Attorney-in-Fact: Laura
Donovan

05/18/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

05/17/2017

05/17/2017

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.