| SEC For | rm 4 | | | | | | | | | | | | | | | | | | |
|--|---|--|---|---------|---|--|-----------|---|--|--------|--|--|---|---|---|-------------------------------------|--|--|--|
| | FORM | 4 l | JNITE |) ST/ | ATES | S SE | ECU | ISSION | SION OMB APPROVAL | | | VAL | | | | | | | |
| Section 16. Form 4 or Form 5 obligations may continue. See | | | | | | I pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | Estimated average burden | | | | |
| 1. Name and Address of Reporting Person [*] Ben-Natan Nimrod | | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT] | | | | | | | | | of Reportir cable) or · (give title | | son(s) to Iss 10% Ov Other (s | vner | |
| (Last) (First) (Middle) 2590 ORCHARD PARKWAY | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/15/2022 | | | | | | | | X Oncer (give true below) below) below) SVP & GM, Cable Access | | | | | |
| (Street) SAN JOSE CA 95131 | | | | | - 4. I | Line) X For | | | | | | | | | r Joint/Group Filing (Check Applicable i filed by One Reporting Person i filed by More than One Reporting on | | | | |
| (City) | (S | , | (Zip) Ie I - Noi | n-Deri | vative | - Se | curit | ies Ar | cauired | Dis | posed o | of, or Be | neficia | Ily Owne | 4 | | | | |
| 1. Title of Security (Instr. 3) (Month/D | | | | saction | ction 2A. Deemed Execution Dat | | | , 3. 4. Secur Dispose Code (Instr. 5) | | | ities Acquired (A) or d Of (D) (Instr. 3, 4 and | | d 5. Amou Securiti Benefic Owned | 5. Amount of Securities Beneficially Owned Following Reported | | n: Direct r Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock 08/15 | | | | 5/202 | 2022 | | | Code | v | Amount | Amount (A) or (D) 16,446 A | | (Instr. 3 | Transaction(s) (Instr. 3 and 4) 448,411 | | D | | | |
| | | Т | | Deriva | ative | Seci | | | | | osed of | , or Ben | eficiall | y Owned | | | 5 | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | (e.g., 3A. Deemed Execution Date, if any (Month/Day/Year) | | 4. Transaction Code (Instr. 8) | | 5. Number | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4) | e s illy g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercisal | | Expiration Date | Title | Amount or Number of Shares | | | | | | |
| Restricted Stock Units | \$0.00 | 08/15/2022 | | | М | | | 7,400 | 02/15/20 | 21 | 02/15/2023 | Common Stock | 7,400 | \$0.00 | 14,80 | 0 | D | | |
| Restricted Stock Units | \$0.00 | 08/15/2022 | | | М | | | 9,046 | 02/15/20 | 22 | 02/15/2024 | Common Stock | 9,046 | \$0.00 | 54,27 | '9 | D | | |

Explanation of Responses:

Remarks:

/s/ Laura Donovan By Attorney-in-Fact Laura Donovan

08/17/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.