SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to
	Section 16. Form 4 or Form 5
(obligations may continue. See
1	Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response:	0.5

1. Name and Addr Warren Time	ess of Reporting Pers <u>othy</u>	son*	2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]	(Check	tionship of Reporting Perso all applicable) Director Officer (city title	10% Owner					
(Last) 4300 NORTH I	(First) FIRST STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2018	X	Officer (give title below) SVP, Video Pro	Other (specify below) ducts					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	vidual or Joint/Group Filing (Check Applicable						
SAN JOSE	CA	95134		X	Form filed by One Repor	rting Person					
(City)	(State)	(Zip)			Form filed by More than Person	One Reporting					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	02/15/2018		М		2,400 ⁽¹⁾	A	\$0.00	241,981	D	
Common Stock	02/15/2018		М		2,250 ⁽²⁾	A	\$0.00	244,231	D	
Common Stock	02/15/2018		М		25,000 ⁽³⁾	A	\$0.00	269,231	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.00	02/15/2018		м			2,400	02/15/2016	02/15/2018	Common Stock	2,400	\$0.00	0	D	
Restricted Stock Unit	\$0.00	02/15/2018		м			2,250	02/15/2017	02/15/2018	Common Stock	2,250	\$0.00	0	D	
Restricted Stock Unit	\$0.00	02/15/2018		м			25,000	02/15/2018	02/15/2019	Common Stock	25,000	\$0.00	25,000	D	

Explanation of Responses:

1. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2018. These restricted stock units were initially granted to the Reporting Person on 3/13/2015, and were identified on a Form 3 filed by the Reporting Person on 2/15/2018.

2. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2018. These restricted stock units were initially granted to the Reporting Person on 3/14/2016, and were identified on a Form 3 filed by the Reporting Person on 2/15/2018.

3. These shares of common stock were acquired upon the vesting of restricted stock units on 2/15/2018. These restricted stock units were initially granted to the Reporting Person on 3/20/2017, and were identified on a Form 3 filed by the Reporting Person on 2/15/2018.

Remarks:

/s/ Laura Donovan By Attorney-in-Fact: Laura Donovan

02/20/2018

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.