## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

I i i i i i i i i i i i i i i i i i i i			2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) 4300 NORTH FIRST STREET		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2017	x	Officer (give title below) Chief Financia	Other (specify below)		
(Street) SAN JOSE CA 95134 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	1 '	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock	03/01/2017		М		19,689(1)	A	\$0.00	133,979	D	
Common Stock	03/01/2017		F		7,639(2)	D	\$5.9	126,340	D	
Common Stock	03/02/2017		М		10,369(3)	Α	\$3.14	136,709	D	
Common Stock	03/02/2017		S		10,369	D	\$5.7167	126,340	D	
Common Stock	03/02/2017		S		104,631	D	\$5.7226	21,709	D	
Common Stock	03/03/2017		s		10,000	D	\$5.7215	11,709	D	
Common Stock	03/03/2017		S		11,709	D	\$5.6784	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and 11. Nature 1. Title of 3. Transaction 3A. Deemed 5. Number 8. Price 9. Number of 10. derivative Derivative Conversion Date Execution Date Transaction of Expiration Date Amount of Ownership of Indirect (Month/Day/Year) (Month/Day/Year) Derivative Derivativ Security or Exercise if any Code (Instr. Securities Securities Form: Beneficial (Instr. 3) Price of (Month/Day/Year) 8) Securities Underlying Beneficially Direct (D) Security Ownership Acquired (A) or Derivative Derivative (Instr. 5) Owned or Indirect (Instr. 4) Security (Instr. 3 Following (I) (Instr. Security Disposed and 4) Reported of (D) Transaction(s (Instr. 3, 4 (Instr. 4) and 5) Amount Number Expiration Date v (A) (D) Title Code Exercisable Date Shares Restricted ommo \$0.00 03/01/2017 03/01/2017 М 19,689 11/15/2016 03/01/2017 19,689 \$0.00 0 D Stock Unit Stock Stock Commo Option/Right \$3.14 03/02/2017 03/02/2017 Μ 10,369 02/15/2016<sup>(3)</sup> 03/14/2023 10,369 \$3.14 20,742 D Stock to buy

### Explanation of Responses:

1. These shares of common stock were acquired upon the vesting of performance-based restricted stock units on 3/1/2017. These performance-based restricted stock units were initially granted to the Reporting Person on 8/19/2016, and were identified on a Form 4 filed by the Reporting Person on 8/23/2016.

2. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.

3. These shares of common stock were acquired upon the exercise of stock options on 3/2/2017. These options were initially granted to the Reporting Person on 3/14/2016, and were identified on a Form 4 filed by the Reporting Person on 3/16/2016.

Remarks:

<u>/s/ Laura Donovan By</u> <u>Attorney-in-Fact: Laura</u> <u>Donovan</u>

03/03/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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