SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287
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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	nd Address of d Debora	^f Reporting Person [*] <u>h</u>				Name and Tic IONIC IN				/mbol				elationship o eck all applic C Directo	able)	g Pers	on(s) to Iss 10% O	
(Last) 4300 NC	```	irst) T STREET	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 04/03/2019									Officer below)	(give title		Other (: below)	specify
(Street) SAN JOSE CA 95134							Line)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person										
(City)		A itate)	95134 (Zip)											Form fi Person		re than	One Repo	rting
		Ta	ble I - Non-	Derivativ	/e Sec	curities Ac	cquire	ed,	Disp	osed o	f, o	r Bene	ficially	y Owned				
Date			2. Transactior Date Month/Day/Y	Execution Date,			Code (Instr. 5)					4 and Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
								v	Amount		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	Code (action (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr.	Expiration Date of Sect (Month/Day/Year) Underl Derivat				Title and A Securitie: derlying rivative S str. 3 and	ecurity	8. Price of Derivative Security (Instr. 5)		e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	

					of (D) (I 3, 4 and							Transaction(s) (Instr. 4)		
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restric Stock Units ⁽	\$0.00	04/03/2019	A		21,238		02/15/2020 ⁽²⁾	02/15/2020	Common Stock	21,238	\$0.00	21,238	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2020. Vested shares will be delivered to the reporting person on or immediately following February 15, 2020. **Remarks:**

/s/ Laura Donovan By Attorney-in-Fact: Laura Donovan

Date

04/04/2019

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.