FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Washington, B.G. 20043 | |
|------------------------------------|----------------|
| | |
| | |
| STATEMENT OF CHANGES IN BENEFICIAL | OWNEDSHID |
| STATEMENT OF CHANGES IN BENEFICIAL | CAMINETICALIII |

| OMB A | PPROVAL |
|-------------|----------|
| OMB Number: | 3235-028 |

| l | OMB Number: | 3235-0287 |
|---|-------------------------|-----------|
| l | Estimated average burde | en |
| ı | hours por rosponso: | 0.1 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>HARSHMAN PATRICK</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT] | | | | | | | 5. Re (Che | ck all applica | , | | /ner | | |
|--|--|--|--|----------------------------------|---|--------|------------------------------|--|------------------|--------------------------|--|---|---|---------------------------------|---|--|--|--|
| (Last) (First) (Middle) 4300 NORTH FIRST STREET | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 04/18/2018 | | | | | | | X | | | | Other (s below) CEO | pecify | |
| (Street) SAN JOSE CA 95134 (City) (State) (Zip) | | | | | | | | | | | Line) | ndividual or Joint/Group Filing (Check Applicable 2) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | |
| Date | | | | . Transacti Date Month/Day | Execution Date, | | Code (Instr. | | | l (A) or . 3, 4 and 5 | 5. Amoun Securities Beneficia Owned Fo | es Forr ally (D) (Following (I) (II | | : Direct Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | Code V | , | Amount | (A) or (D) Price | | Transacti | Transaction(s) (Instr. 3 and 4) | | | (111511.4) | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Dat if any (Month/Day/Yo | Cod | Transaction of Code (Instr. Derivative | | ive ies ed ed ed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amou of Securities Underlying Derivative Securit (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | | e Own Forn ally Dire or In g (I) (II | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | | xpiration vate | Title | Amount or Number of Shares | | | | | | |
| Performance Restricted Stock Units ⁽¹⁾ | \$0.00 | 04/18/2018 | | A | | 40,000 | | 04/18/2018 ⁽² |) 09 | 9/30/2019 | Common Stock | 40,000 | \$0.00 | 40,00 | 0 | D | | |

Explanation of Responses:

- 1. Each performance restricted stock unit, or PRSU, represents a contingent right to receive one share of HLIT common stock.
- 2. If the closing price of HLIT's common stock, as quoted on the NASDAQ Stock Market, equals or exceeds certain stock price thresholds for at least 20 consecutive trading days (the "Minimum Trading Period"), then 50% of the PRSUs will vest on the final day of the Minimum Trading Period. If the stock price thresholds for which the Minimum Trading Period has been satisfied exceeds one or more other stock price thresholds for which the PRSU award has not already vested, then the vesting associated with all such stock price thresholds shall occur as of the close of market on the final day of the Minimum Trading Period.

Remarks:

/s/ Laura Donovan By Attorneyin-Fact: Laura Donovan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.