FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

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Vashington,	D.C.	20549

OMB APPROVAL								
OMB Number:	3235-0287							
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hours per response.	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Jankovic Walter</u>					2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner				
(Last) (First) (Middle) C/O HARMONIC INC.					3. Date of Earliest Transaction (Month/Day/Year) 11/15/2023						X Officer (give title Other (specific below)  Chief Financial Officer				
2590 ORCHARD PARKWAY				4.	4. If Amendment, Date of Original Filed (Month/Day/Year)					Line	6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person				
(Street) SAN JOS	SE C.	A	95131								2		led by More t	eporting Perso	
(City)	(S	tate)	(Zip)	_   R	Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										
		Tal	ole I - Non-De	rivativ	e Se	curitie	s Ac	quired, Di	sposed o	f, or Ber	neficiall	y Owned			
Date				action 2A. Deemed Execution Date if any (Month/Day/Yea		Code (Instr. 5)					es Formally (D) (Following (I) (I		7. Nature of ndirect Beneficial Dwnership		
								Code V	Amount	(A) or (D)	Price	Reported Transact (Instr. 3 a	ion(s)		(Instr. 4)
			Table II - Der (e.g					uired, Dis , options,				Owned			
1. Title of Derivative Security (Instr. 3)	Conversion or Exercise (Month/Day/Year) Execution D		3A. Deemed Execution Date, if any (Month/Day/Year	Code (Instr.		of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units <sup>(1)</sup>	\$0.00	11/15/2023		A		38,609		11/15/2024 <sup>(2)</sup>	11/15/2026	Common Stock	38,609	\$0.00	38,609	D	

## **Explanation of Responses:**

- $1. \ Each \ restricted \ stock \ unit \ represents \ a \ contingent \ right \ to \ receive \ one \ share \ of \ HLIT \ common \ stock.$
- 2. One third (33.33%) of the Shares subject to the Restricted Stock Units are scheduled to vest on November 15, 2024, and approximately 8.33% of the remaining Restricted Stock Units will vest each three months thereafter, so as to be 100% vested on the third anniversary of the RSU Vesting Commencement Date.

## Remarks:

/s/ Wendi Ninh, Attorney-in-

**Fact** 

\*\* Signature of Reporting Person Date

11/17/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.