FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL								
OMB Number:	3235-0287							
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hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Haltmayer Neven					HAF	2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [ HLIT ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner					
(Last) 4300 NO						3. Date of Earliest Transaction (Month/Day/Year) 08/19/2016									below)	er (give title v) r. Vice Preside		Other (sbelow)	specify	
(Street) SAN JOS (City)			95134 Zip)		4. If A	men	dment,	Date	of Original F	al Filed (Month/Day/Year)					i. Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Tab	le I - N	on-Deriv	ative S	Sec	urities	Acc	quired, Di	sp	osed o	f, or Be	nefi	ciall	y Owned	t				
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day)						Execution Date,			Transaction Dispose Code (Instr. and 5)		ities Acqu d Of (D) (I			5. Amou Securiti Benefic Owned Followi	es ially	Forn (D) o	n: Direct or rect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	,	Amount	(A) c	P	rice	Reporte Transac			1. 4)	(msu. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date,		4. Transaction Code (Instr. 8)		on of E		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
					Code	v	(A)		Date Exercisable		piration ate	Title	Amo or Num of Shar	ber						
Restricted Stock Units <sup>(1)</sup>	\$0.00	08/19/2016			A		23,694		11/15/2016 <sup>(2)</sup>	03	/01/2017	Common Stock	23,6	594	\$0.00	23,694		D		

## Explanation of Responses:

- 1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.
- 2. Up to approximately 40% of the RSUs may vest based on performance against the Company's fiscal Q3 2016 financial targets, as determined by the Compensation Committee of the Company on or before November 15, 2016, and up to approximately 60% of the RSUs may vest based on performance against the Company's fiscal Q4 2016 and full year 2016 financial targets, as determined by the Compensation Committee on or before March 1, 2017.

## Remarks:

/s/ Laura Donovan By Attorney-in-Fact: Laura

08/23/2016

**Donovan** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.