FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] SWENSON SUSAN						2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]									k all appli	tionship of Reporting Pe all applicable) Director			erson(s) to Issuer 10% Owner	
(Last)	```	rst) (3. Date of Earliest Transaction (Month/Day/Year) 03/27/2017											Officer (give title below)		Other (below)	specify		
4300 NORTH FIRST STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)															X Form filed by One Reporting F				on	
SAN JOSE CA 95134															Form filed by More than One Reporting Person					
(City)	City) (State) (Zip)																			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3) Date (Month/Da					Execution Da			Code (In	ransaction Dispose ode (Instr. and 5)		rities Acqu ed Of (D) (I			5. Amo Securit Benefic Owned	ies ially	Forr (D) d Indi	m: Direct or rect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) ((D)	(A) or (D) Price		Following Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any		4. Transaci Code (In 8)		on of		6. Date Exercisat Expiration Date (Month/Day/Year			Amount Securitie Underlyi Derivativ	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		. Price If Derivative Security Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
					Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amou or Numt of Share	ber						
Restricted Stock Units ⁽¹⁾	\$0.00	03/27/2017			A		20,690		02/15/2018 ⁽²	2) 0	2/15/2018	Common Stock	20,69	90	\$0.00	20,690		D		

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2018. Vested shares will be delivered to the reporting person on or immediately following February 15, 2018. Remarks:

<u>/s/ Laura Donovan By</u> <u>Attorney-in-Fact Laura</u> <u>Donovan</u> ** Signature of Reporting Person

03/29/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

of Reporting Person Date