FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * HARSHMAN PATRICK						2. Issuer Name <b>and</b> Ticker or Trading Symbol HARMONIC INC [ HLIT ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner					
(Last) 4300 NO	`	irst)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 11/15/2019									••	er (give title v)		r (specify			
(Street) SAN JO (City)		A tate)	95134 (Zip)		4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								Lin	6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting     Person					
Table I - Non-Deriv  1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)							Execution Date,			3. 4. Secu Transaction Dispose			quired	(A) or	5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
							, , , , ,		Code	v	Amount		A) or D)	Price		ted action(s) 3 and 4)	.,,,	(Instr. 4)		
Common Stock				11/1:	5/2019	2019		М		16,333 <sup>(1)</sup> A		A	\$0.0	0 9	18,915	D				
Common Stock				11/15	15/2019				F		8,097	7(2)	D	\$7.	9 9	10,818	D			
Common Stock 11/				11/13	5/2019	2019			M		16,666 <sup>(3)</sup>		A	\$0.0	0 92	27,484	D			
Common Stock 11/15				5/2019	2019			F		8,263 <sup>(2)</sup> D		D	\$7.	.9 919,221		D				
		Т	able II -					es Acquarrants,	,	•	,	•		•	Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transaction Code (Instr 8)		on of I		6. Date Exercisal Expiration Date (Month/Day/Year			7. Title and Amou of Securities Underlying Derivative Securit (Instr. 3 and 4)		curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)		Date Exercisabl		xpiration ate	Title	or Nu of	ımber						
Restricted Stock Units	\$0.00	11/15/2019			M			16,333	02/15/2018	8 02	2/15/2020	Commo		5,333	\$0.00	16,334	D			
Restricted Stock Units	\$0.00	11/15/2019			M			16,666	02/15/2019	9 02	2/15/2021	Commo		5,667	\$0.00	83,333	D			

## Explanation of Responses:

- 1. These shares of common stock were acquired upon the vesting of restricted stock units on 11/15/2019. These restricted stock units were initially granted to the Reporting Person on 7/11/2017, and were identified on a Form 4 filed by the Reporting Person on 7/12/2017.
- 2. Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock units.
- 3. These shares of common stock were acquired upon the vesting of restricted stock units on 11/15/2019. These restricted stock units were initially granted to the Reporting Person on 3/20/2018, and were identified on a Form 4 filed by the Reporting Person on 3/22/2018.

## Remarks:

/s/ Laura Donovan By
Attorney-in-Fact: Laura
Donovan

11/19/2019

<u>Donovan</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).