SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Carrington Mark			2. Date of Even Requiring Stater (Month/Day/Yea	ment	3. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]					
(Last) (First) (Middle) 4300 NORTH FIRST ST.			_ 02/08/2011		4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
			_		Director X Officer (give title below)	10% Owne Other (spe below)	cify App	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) SAN JOSE	СА	95134	_		VP of Worldwide Sales			Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
			Table I - Nor	n-Derivati	ve Securities Beneficial	ly Owned				
1. Title of Security (Instr. 4)					Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ((Instr. 5)	t (D) (Instr. 5)		Beneficial Ownership	
Common Stock					448	D				
					Securities Beneficially nts, options, convertible		s)			
-			2. Date Exerc Expiration Da (Month/Day/Y	ite	3. Title and Amount of Securities Underlying Derivative Security (Instr.		4. Conversion or	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Exercise Direct (D) Price of or Indirect Derivative (I) (Instr. 5) Security			
							1	1	í	
Restricted St	tock Units ⁽¹⁾		02/15/2011 ⁽²⁾	02/15/2014	Common Stock	30,000	0.00	D		
Restricted St Restricted St			02/15/2011 ⁽²⁾ 02/15/2011 ⁽²⁾	02/15/2014 02/15/2014	Common Stock Common Stock	30,000 14,000	0.00	D D		
	tock Units ⁽¹⁾					,				
Restricted St Restricted St	tock Units ⁽¹⁾	,	02/15/2011 ⁽²⁾	02/15/2014	Common Stock	14,000	0.00	D		
Restricted St Restricted St Stock Optior	tock Units ⁽¹⁾		02/15/2011 ⁽²⁾ 11/15/2011 ⁽³⁾	02/15/2014 11/15/2014	Common Stock Common Stock	14,000 15,000	0.00	D D		

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Harmonic common stock.

2. Twenty five percent of the shares subject to the restricted stock units are scheduled to vest on February 15, 2011, and twelve and one half percent of the restricted stock units are scheduled to vest on each of August 15, 2011, February 15, 2012, August 15, 2012, February 15, 2013, August 15, 2013 and February 15, 2014.

3. Twenty five percent of the shares subject to the restricted stock units are scheduled to vest on November 15, 2011, and twelve and one half percent of the restricted stock units are scheduled to vest on each of May 15, 2012, November 15, 2012, May 15, 2013, November 15, 2013, May 15, 2014 and November 15, 2014.

4. Twenty-five percent of the Shares subject to the Option are scheduled to vest twelve months after the Vesting Commencement Date, and one forty eighth of the Shares subject to the Option are scheduled to vest monthly thereafter.

5. Twenty-five percent of the Shares subject to the Option were scheduled to vest twelve months after the Vesting Commencement Date, and one forty eighth of the Shares subject to the Option were scheduled to vest on the 15th day of each month thereafter.

Remarks:

/s/ Laura Donovan By Attorney-in-Fact: Laura <u>Donovan</u>

02/14/2011

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.