## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>SWENSON SUSAN</u>					2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [ HLIT ]										tionship of Reporting Pers all applicable) Director			son(s) to Issuer 10% Owner	
(Last) (First) (Middle) 4300 NORTH FIRST STREET					3. Date of Earliest Transaction (Month/Day/Year) 03/09/2018										Officer (give title below)		Other (specil below)		specify
(Street) SAN JOSE CA 95134					4. If Amendment, Date of Original Filed (Month/Day/Year)								Indiv ne) X	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S	tate)	(Zip)																
		Tal	ble I - Non	n-Deriva	tive	Sec	curitie	s Ac	quirec	l, Dis	sposed o	f, or Be	neficia	ally (	Owned				
Da			Date (Month/Day/Year)		r) E	2A. Deemed Execution Date if any (Month/Day/Yea		Code (Instr.						5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
										e v	Amount	ount (A) or (D)		•	Transact (Instr. 3 a	ction(s)			(Instr. 4)
			Table II - I (						,		osed of, convertil				wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, Tra	Transaction Code (Instr.				6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Am of Securities Underlying Derivative Sec (Instr. 3 and 4)		Derivativ Security		9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s dly g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Co	Code V		(A)	(D)	Date Exercisable		Expiration Date	0 N 0	Amou or Numb of Share:	er					

Explanation of Responses:

\$0.00

Restricted

Stock

Units<sup>(1)</sup>

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

03/09/2018

2. The shares subject to the restricted stock units are scheduled to vest in full on February 15, 2019. Vested shares will be delivered to the reporting person on or immediately following February 15, 2019. Remarks:

02/15/2019<sup>(2)</sup>

33,802

## /s/ Laura Donovan By Attorney-in-Fact Laura Donovan

Commo

Stock

02/15/2019

\*\* Signature of Reporting Person

33,802

\$<mark>0.00</mark>

Date

33,802

03/12/2018

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.