## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> HARSHMAN PATRICK						2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]								elationship eck all applic X Directo	,	g Per	son(s) to Is 10% Ov	
(Last)	(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 03/14/2014								X Officer below)	(give title		Other (s below)	pecify
4300 NORTH FIRST STREET														President and CEO				
						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable				
(Street)														ne) X Form filed by One Reporting Person				_
SAN JOSE CA 95134													Form filed by More than One Reporting					
(City) (State) (Zip)													Person	n ý		·		
<u> </u>	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) Date (Month/Day					Execution Date,			Transaction Disposed Code (Instr. and 5)		ties Acqu d Of (D) (I	ired (A) or nstr. 3, 4	Securiti Benefici Owned	es Form ally (D) o Indir		: Direct of E	7. Nature of Indirect Beneficial Dwnership		
								Code	v	Amount	(A) o (D)	<sup>r</sup> Price	Following Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transact Code (In 8)	5. Number ion of		6. Date Exercisa Expiration Date (Month/Day/Yea		sable and e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
													Amount or					
					Code	v	(A)	(D)	Date Exercisable		Expiration Date	Title	Number of Shares					
Restricted Stock Units <sup>(1)</sup>	\$0.00	03/14/2014			A		52,500		02/15/2015		02/15/2016	Common Stock	52,500	\$0.00	52,500		D	
Right to buy	\$6.49	03/14/2014			Α		325,000		02/15/2015(	3)	02/15/2018	Common Stock	325,000	\$6.49	325,000	)	D	

### Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Harmonic common stock.

2. Fifty percent of the shares subject to the restricted stock units are scheduled to vest on February 15, 2015, and twenty five percent of the restricted stock units are scheduled to vest semi-annually thereafter so as to be 100% vested on February 15, 2016.

3. Twenty-five percent of the Shares subject to the Option are scheduled to vest twelve months after the Vesting Commencement Date, and one forty eighth of the Shares subject to the Option are scheduled to vest monthly thereafter.

#### **Remarks:**

<u>/s/ Laura Donovan By</u> <u>Attorney-in-Fact: Laura</u>

03/18/2014

Donovan
\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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