FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

ı	OMB APPROVAL									
	OMB Number:	3235-0287								
ı	Estimated average burd	en								
l	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Ben-Natan Nimrod							2. Issuer Name <b>and</b> Ticker or Trading Symbol HARMONIC INC [ HLIT ]								cable) or	10% Ow		vner	
(Last) (First) (Middle) 4300 NORTH FIRST STREET						3. Date of Earliest Transaction (Month/Day/Year) 02/24/2020								below	(give title TP & GM, Cable		Other (s below) le Access	:peспу	
(Street) SAN JOSE CA 95134					4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting				
(City) (State) (Zip)					ative Securities Acquired, Disposed of, or Benefi									Person					
		Tal	ole I - Nor	-Deriv	atıv/	e Se	curitie	s Ac	quired,	Dis	posed o	t, or Ber	neficial	ly Owne	d				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)						Execution Date,			Code (Instr. 5)				Benefic	es Form ally (D) o Following (I) (In		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Price	Transa (Instr. 3	tion(s)			(111501. 4)	
			Table II - I								osed of, onvertib			Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, T	Code (Ins				6. Date Exercisab Expiration Date (Month/Day/Year)			e and 7. Title and Am of Securities Underlying Derivative Sec (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisabl		Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units <sup>(1)</sup>	\$0.00	02/24/2020			A		88,800		02/15/2021	(2)	02/15/2023	Common Stock	88,800	\$0.00	88,80	00	D		

## Explanation of Responses:

- 1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.
- 2. One third (33.33%) of the Shares subject to the Restricted Stock Units are scheduled to vest on February 15, 2021, and approximately 8.33% of the remaining Restricted Stock Units will vest each three months thereafter, so as to be 100% vested on the third anniversary of the RSU Vesting Commencement Date.

## Remarks:

/s/ Laura Donovan By

Attorney-in-Fact Laura 02/26/2020

**Donovan** 

\*\* Signature of Reporting Person Da

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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