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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287							
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	Estimated average burd							

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Haltmayer Neven					2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]									5. Re (Che	ck all applic	tionship of Reporting Person all applicable) Director			er ner
(Last) 4300 NC	(F ORTH FIRS	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/24/2020							- ,	Officer below)	er (give title Other (spec w) below) SVP, Video R&D			pecify		
(Street) SAN JO (City)			95134 (Zip)		4. If A	mendmen	t, Date	of Origii	nal File	ed ((Month/Day	y/Yea	ar)	6. In Line) ∑	Form fi	led by One led by Mor	e Repo	(Check App rting Person One Report	
		Tal	ble I - Nor	n-Deriv	ative \$	Securiti	es A	cquire	ed, D	isp	oosed o	f, o	r Bene	eficially	y Owned				
1. Title of Security (Instr. 3) 2. Trans Date (Month/I					Executi) if any	2A. Deemed Execution Date, if any (Month/Day/Yea		Code (Instr.				(A) or 3, 4 and	Securitie Beneficia Owned F	5. Amount of Securities Beneficially Owned Following		: Direct I Indirect I str. 4) (7. Nature of Indirect Beneficial Ownership		
								Co	de V		Amount		(A) or (D)	Price Reported Transaction(s (Instr. 3 and 4			on(s)		Instr. 4)
			Table II -								osed of, onvertik				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	ate, Ti C	ansactio ode (Insi	on of tr. Deriva Secur Acqui (A) or Dispo of (D)	n of E			6. Date Exercisable and Expiration Date (Month/Day/Year)			Fitle and Securitie derlying rivative S str. 3 and	s Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Units⁽¹⁾

\$0.00

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

02/24/2020

2. One third (33.33%) of the Shares subject to the Restricted Stock Units are scheduled to vest on February 15, 2021, and approximately 8.33% of the remaining Restricted Stock Units will vest each three months thereafter, so as to be 100% vested on the third anniversary of the RSU Vesting Commencement Date.

Date

Exercisable

02/15/2021⁽²⁾

(D)

(A)

88,800

Expiration Date

02/15/2023

Title

Comm

Stock

Remarks:

Restricted

Stock

/s/ Laura Donovan By Attorney-in-Fact Laura <u>Donovan</u>

02/26/2020

88,800

D

** Signature of Reporting Person Date

or Number

Shares

88,800

\$<mark>0.00</mark>

of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.